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PTO/SB/21 (04-04)

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/769,225
Filing Date	January 30, 2004
First Named Inventor	Canfeng Lai
Art Unit	1763
Examiner Name	Alejandro Mulero, Luz L.
Attorney Docket Number	A5152D1/T39510

Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)

- | | | |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Fee Attached
<input checked="" type="checkbox"/> Preliminary Amendment
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input type="checkbox"/> Information Disclosure Statement

<input type="checkbox"/> Certified Copy of Priority Document(s)

<input type="checkbox"/> Response to Missing Parts/ Incomplete Application

<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers
<input type="checkbox"/> Petition
<input type="checkbox"/> Petition to Convert to a Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation
Change of Correspondence Address
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Request for Refund

<input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Technology Center (TC)
<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):

Response to Notice of Non-Compliant Amendment
Return Postcard |
|---|---|---|

Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Townsend and Townsend and Crew LLP	Reg. No. 44,037
Signature	<i>Patrick M. Boucher</i>	
Date	September 27, 2004	

CERTIFICATE OF TRANSMISSION/MAILING

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Typed or printed name	Nina L. McNeill		
Signature	<i>Nina L. McNeill</i>	Date	September 27, 2004



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By: Nina L. McNeill
Nina L. McNeill

PATENT
Atty Docket No. A5152D1/T39510
Client Ref. No. 005152 D1
USA/DSM/HDP/CVD/JW
TTC Ref. No. 016301-039510US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Canfeng Lai, et al.

Application No.: 10/769,225

Filed: January 30, 2004

For: MULTI-CORE TRANSFORMER
PLASMA SOURCE

Customer No. 20350

Confirmation No. 9575

Examiner: Alejandro Mulero, Luz L.

Technology Center/Art Unit: 1763

RESPONSE TO NOTICE OF NON-
COMPLIANT AMENDMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450


Sir:

In response to the Notice of Non-Compliant Amendment mailed by the Patent and Trademark Office on September 13, 2004 for the above-identified patent application, attached is a substitute Preliminary Amendment that contains a complete listing of all of the claims. Applicants believe this Preliminary Amendment is now compliant.

Canfeng Lai et al.
Application No.: 10/769,225
Page 2

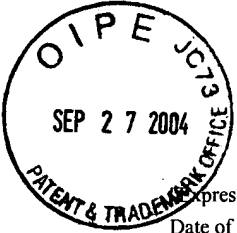
PATENT

Respectfully submitted,


Patrick M. Boucher
Reg. No. 44,037

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PATENT
Atty Docket No. A5152D1/T39510
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PRELIMINARY AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Prior to examination of the above-referenced application, please enter the following amendments and remarks:

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this paper.

Remarks begin on page 6 of this paper.